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PTO/SB/21 (02-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number 09/668,421
		Filing Date September 22, 2000
		First Named Inventor MOHAN ANANDA
		Art Unit 3621
		Examiner Name C. O. SHERR
Total Number of Pages in This Submission 1,237	Attorney Docket Number 81045.913D3	

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal letter, Form PTO/SB/08A (3 sheets); Check for \$180.00; Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name By:	THE HECKER LAW GROUP, PLC Todd N. Snyder
Signature	
Date	June 9, 2004

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name Signature	Marlou I. Magalante 
Date	June 9, 2004

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\\$) 180.00</b>

**Complete if Known**

Application Number	09/668,421
Filing Date	September 22, 2000
First Named Inventor	MOHAN ANANDA
Examiner Name	C. O. SHERR
Art Unit	3621
Attorney Docket No.	81045.913D3

**METHOD OF PAYMENT (check all that apply)**

Check    Credit card    Money Order    Other    None

 Deposit Account:

Deposit Account Number	08-1520
Deposit Account Name	THE HECKER LAW GROUP, PLC

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- Charge fee(s) indicated below    Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEES CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

**SUBTOTAL (1) (\$)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Large Entity	Small Entity	Fee from below	Fee Paid
Total Claims		-20** =	X =
Independent Claims		- 3** =	X =
Multiple Dependent			=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$)**

\*\* or number previously paid, if greater; For Reissues, see above

**FEES CALCULATION (continued)****3. ADDITIONAL FEES**  
Large Entity   Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	1806 180 Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	1809 770 Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	1810 770 For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	1801 770 Request for Continued Examination (RCE)	
1802 900	1802 900	1802 900 Request for expedited examination of a design application	

Other fee (specify)

Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 180.00**SUBMITTED BY**

Name (Print/Type)	Todd N. Snyder	Registration No. (Attorney/Agent)	41,320	Telephone	310 286 0377
Signature		Date	June 9, 2004		

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/668,421  
Applicant : Mohan Ananda  
Filed : September 22, 2000  
TC/A.U. : 3621  
Examiner : C. O. SHERR  
  
Docket No. : 81045.913D3  
Customer No. : 22804

INFORMATION DISCLOSURE STATEMENT

Mail Stop: IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56 and § 1.98, Applicant hereby submits the following art indicated in the attached Form/PTO/SB/08A in connection with the above-identified patent application.

Applicant requests that these references be included with the references cited in this patent application and that they be printed in any patent that may issue from this patent application.

A check in the amount of \$180.00 is enclosed pursuant to 37 C.F.R. § 1.97(c)(2). Copies of the references are enclosed pursuant to 37 C.F.R. § 1.98(a).

06/16/2004 HLE333 00000083 09668421

01 FC:1806

180.00 OP

The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to The Hecker Law Group, PLC, Deposit Account No. 08-1520.

Respectfully submitted,

THE HECKER LAW GROUP, PLC

Date: June 9, 2004

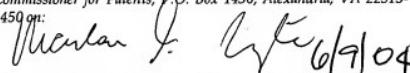
By:

  
Todd N. Snyder  
Reg. No. 41,320

1925 Century Park East  
Suite 2300  
Los Angeles, CA 90067  
(310) 286-0377

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Marlow I. Maglente

Date



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

of

4

### Complete If Known

Application Number	09/668,421
Filing Date	September 22, 2000
First Named Inventor	MOHAN ANANDA
Art Unit	3621
Examiner Name	C. O. SHERR
Attorney Docket Number	81045.913D3

U. S. PATENT DOCUMENTS				
Examiner Initials*	Cits No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code <sup>2</sup> (If known)		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
US-	5,377,269		12/27/1994	Heptig et al.
US-	5,457,642		10/10/1995	Brookner
US-	5,481,611		01/02/1996	Owens et al.
US-	5,495,411		02/27/1996	Ananda
US-	5,510,992		04/23/1996	Kara
US-	5,548,645		08/20/1996	Ananda
US-	5,553,139		09/03/1996	Ross et al.
US-	5,553,143		09/03/1996	Ross et al.
US-	5,606,507		02/25/1997	Kara
US-	5,612,884		03/18/1997	Haines
US-	5,638,513		06/10/1997	Ananda
US-	5,666,284		09/09/1997	Kara
US-	5,677,720		10/14/1997	Sung et al.
US-	5,682,318		10/28/1997	Kara
US-	5,717,597		02/10/1998	Kara
US-	5,745,887		04/28/1998	Gargiulo et al.
US-	5,774,886		06/30/1998	Kara
US-	5,778,076		07/07/1998	Kara et al.
US-	5,796,834		08/18/1998	Whitney et al.

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cits No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (If known)		Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
				T <sup>6</sup>

Examiner Signature	/Cristina Sherr/	Date Considered	05/18/2010

\*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> End of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Shoe

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Complete if Known

Application Number	09/668,421
Filing Date	September 22, 2000
First Named Inventor	MOHAN ANANDA
Art Unit	3621
Examiner Name	C. O. SHERR
Attorney Docket Number	B1045 Q13D3

#### U. S. PATENT DOCUMENTS

U. S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	US- 4,253,158		02/24/1981	McFiggans
	US- 4,301,507		11/17/1981	Soderberg et al.
	US- 4,471,441		09/11/1984	Check, Jr.
	US- 4,813,912		03/21/1989	Chickneas et al.
	US- 4,854,961		08/01/1989	Pastor
	US- 4,858,138		08/15/1989	Talmadge
	US- 4,864,506		09/05/1989	Storage
	US- 4,949,257		08/14/1990	Orbach
	US- 4,970,504		11/14/1990	Chen
	US- 4,980,542		12/25/1990	Jackson et al.
	US- 4,994,986		02/19/1991	Cihowsky et al.
	US- 4,999,806		03/12/1991	Chernow et al.
	US- 5,109,413		04/28/1992	Comerford
	US- 5,153,917		10/06/1992	Kato
	US- 5,166,886		11/24/1992	Molnar et al.
	US- 5,267,171		11/30/1993	Suzuki et al.
	US- 5,293,319		03/08/1994	DeSha et al.
	US- 5,311,596		05/10/1994	Scott et al.
	US- 5,346,202		09/13/1994	Jurkowitz

## **FOREIGN PATENT DOCUMENTS**

Examiner

Cristina Gherardi

Date \_\_\_\_\_

05/18/2010

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 4 of 4

**Complete if Known**

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Application Number 09/668,421  
Filing Date September 22, 2000  
First Named Inventor MOHAN ANANDA  
Art Unit 3621  
Examiner Name C. O. SHERR  
Attorney Docket Number 81N/45.913D2

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature	/Cristina Sherr/	Date Considered	05/18/2010
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<sup>4</sup>EXAMINER: Initial if reference considered, whether or not citation in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>5</sup>Applicant's unique citation designation number (optional). <sup>6</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>7</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>8</sup>For Japanese patent documents, indicate the year of the reign of the Emperor must precede the serial number of the patent document. <sup>9</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>10</sup>Applicant is to place a check mark here if English language Translation is attached.

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Sheet 3

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First Named Inventor	MOHAN ANANDA
Art Unit	3621
Examiner Name	C. O. SHERR
Attorney Docket Number	R1045 913D3

**U. S. PATENT DOCUMENTS**

U. S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code <sup>2</sup> if known		
		US- 5,796,841	08/18/1998	Cordery et al.
		US- 5,799,290	08/25/1998	Dolan et al.
		US- 5,801,364	09/01/1998	Kara et al.
		US- 5,819,240	10/06/1998	Kara
		US- 5,905,774	05/18/1999	Tatchell et al.
		US- 5,896,293	04/20/1999	Teramoto et al.
		US- 5,923,762	07/13/1999	Dolan et al.
		US- 5,943,658	08/24/1999	Gravel et al.
		US- 5,988,897	11/23/1999	Pierce et al.
		US- 6,012,039	06/04/2000	Hoffman et al.
		US- 5,070,150	05/30/2000	Remington et al.
		US- 6,039,257	03/21/2000	Berson et al.
		US- 6,151,590	11/21/2000	Cordery et al.
		US- 6,160,877	12/12/2000	Tatchell et al.
		US- 6,173,273	01/09/2001	Herbert
		US- 6,175,626	01/16/2001	Aucsmith et al.
		US- 6,356,882	03/12/2002	Carroll et al.
		US- 6,385,731	05/07/2002	Ananda
		US- 6,470,327	10/22/2002	Carroll et al.

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**FOREIGN PATENT DOCUMENTS**

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